

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Srivastava et al.

Application No. 10/608,985

Filed: June 26, 2003

Confirmation No. 6401

FILED VIA EFS ON
SEPTEMBER 13, 2007

For: MINING DEPENDENCIES FOR TESTING
AND RISK MANAGEMENT

Examiner: Tuan A. Vu

Art Unit: 2193

Attorney Reference No. 3382-64710-01

FILED VIA EFS
COMMISSIONER FOR PATENTS

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(4)

Listed on the accompanying form PTO-1449 are several English-language documents.

Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163). Applicant will provide copies of such patents or applications upon request.

Applicant filed this Information Disclosure Statement (“IDS”) before the mailing of a first Office action after the filing of a request for continued examination. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is

required for Applicant to file this IDS, please charge any such fees, or credit overpayment, to
Deposit Account No. 02-4550.

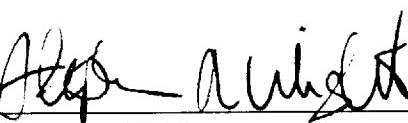
The filing of this IDS shall not be construed to be an admission that the information cited
in the statement is, or is considered to be, prior art or otherwise material to patentability as
defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 595-5300
Facsimile: (503) 595-5301

By



Stephen A. Wight
Registration No. 37,759

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	3382-64710-01
Application Number	10/608,985
Filing Date	June 26, 2003
First Named Inventor	Srivastava
Art Unit	2193
Examiner Name	Tuan A. Vu

U.S. PATENT DOCUMENTS

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

EXAMINER SIGNATURE:	DATE CONSIDERED:
------------------------	---------------------

* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.